

## Risk Management and Compliance for Financial Services



### Modernize Risk Management and Compliance

While the financial services industry has rebounded from the global financial crisis of 2008 during which systemic risk shook its foundation, black swan events continue to threaten stability. Many risk professionals acknowledge that their firm's risk management practices fail to keep pace with today's risk landscape. To avoid the next crisis, firms need to modernize their risk management and compliance infrastructure to ensure data quality, consistency and transparency are in line with regulators' expectations.

InterSystems is helping financial services firms improve the quality, consistency, and transparency of data used to manage risk, and streamline regulatory compliance. Our cutting-edge approach to data management enables firms to implement a smart data fabric that connects and transforms data from internal and external sources, making unified real-time and historical data available on demand.

### The InterSystems Difference

#### Self-Service Data Access

Provides self-service access to unified real-time and historical data from any number of systems and applications and enables fast queries on demand.

#### Non-Disruptive

Complements existing infrastructure, providing a non-disruptive layer that connects and accesses information from legacy systems and applications via a smart data fabric.

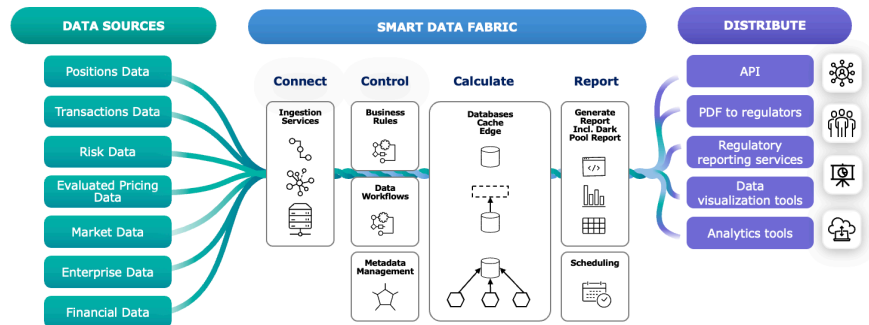
#### Fast, Secure, and Reliable

Accesses, integrates, and transforms data as it's being requested in a secure, low latency environment, built on a data platform designed for high-performance mission-critical applications.

## Risk Management and Compliance for Financial Services

InterSystems technology makes it easy for financial services firms to implement a smart data fabric that can feed risk analytics with live and historical data for more precise risk management and compliance reporting.

Our solution automates data management, applies business rules, provisioning, and runs calculations as data is being requested. This ensures that data is always current and accurate for any consumer, whether it's a risk or compliance manager, or a reporting system.



### Key Benefits

#### Faster Responsiveness

Accelerates access to unified data from any source, enabling firms to build, test, and launch products at an unprecedented pace.

#### Lower Compliance Risk

Automates data processing, eliminating the complexity, errors, and inefficiencies associated with manual approaches.

#### Operational Efficiency

Frees up IT staff to focus on value-added initiatives rather than manually preparing data for reporting.

#### Data Lineage and Auditability

Track data lineage and full change history over time, to maintain an audit trail of all your data, in line with regulatory requirements.

#### Data Governance

Ensure data access is controlled and in strict adherence with your guidelines by leveraging a platform with business rules and data automation built around your data governance framework.

### Why InterSystems?

InterSystems is a leader in data management technology used by thousands of clients globally to build and run mission-critical, data-intensive applications. Banks, asset managers, and financial technology firms rely on InterSystems to run risk management and regulatory reporting engines, trade order management systems, wealth management platforms, and for business reporting.

InterSystems is committed to excellence through its award-winning, 24/7 support for customers and partners in more than 80 countries.

